

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Stuart Serkin et al.	Art Unit	: 3692
Serial No.	: 09/404,518	Examiner	: Elda G. Milef
Filed	: September 23, 1999	Conf. No.	: 8450
Title	: MATCH-OFF OF ORDER FLOW IN ELECTRONIC MARKET SYSTEM		

Commissioner for Patents  
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PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages.

**CERTIFICATE OF MAILING BY EFS-WEB FILING**

I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: August 25, 2011.